



Membership Application

CORPORATE

Please complete this application
form and submit it to:

Jackie Harrison
+44 (0)20 7369 1633
membership@balticexchange.com

Baltic Exchange
38 St Mary Axe
London EC3A 8BH



COMPANY DETAILS 1

Please enter your company details here:

Full company name _____

Name for directory listing _____
Place of registration _____
Date of registration _____ / _____ / _____
Registration number _____
VAT no _____
Address _____

Town _____
County _____
Postcode _____
Country _____
Company email address _____
Switchboard number _____
Website _____

Enter details for the main company applying for membership, even if you are located in a different office.

The name given for your directory listing will be used for your company listing in the Baltic membership directory.

VAT is charged where applicable.

eg. info@domain.com
(not a personal email address)

Please indicate the nature of your business:

Broker
Charterer
Exclusive manager
Shipowner
Trader
Other (please specify) _____

SHIPOWNERS, TRADERS and CHARTERERS trade on their own account. BROKERS do not trade on their own account but arrange transactions between principals. OTHERS includes; legal, financial and insurance firms etc..

Please indicate if your company is active in the FFA market

DIRECTORS/SHAREHOLDERS 2

Please list the names and details of the company's directors and their shareholders.

If your company is a listed entity with publicly available shareholder information, tick here and skip to Section 3.

Director or shareholder 1 Title

(Mr/Mrs/Miss/Ms/Other) _____

Family name _____

Given name _____

% shareholding _____

Director or shareholder 2 Title

(Mr/Mrs/Miss/Ms/Other) _____

Family name _____

Given name _____

% shareholding _____

Director or shareholder 3 Title

(Mr/Mrs/Miss/Ms/Other) _____

Family name _____

Given name _____

% shareholding _____

Director or shareholder 4 Title

(Mr/Mrs/Miss/Ms/Other) _____

Family name _____

Given name _____

% shareholding _____

PROPOSER & SECONDER 3

Applications must be endorsed by two current **members**. Please enter details of your sponsors here so that the Baltic can request their endorsement by email.

Proposer

Title (Mr/Mrs/Miss/Ms/Other) _____
Family name _____
Given name _____
Company _____
Telephone number _____
Email address _____

Seconder

Title (Mr/Mrs/Miss/Ms/Other) _____
Family name _____
Given name _____
Company _____
Telephone number _____
Email address _____

Your PROPOSER and SECONDER must be individual members and not employed at the Baltic Exchange. Please contact the Baltic for assistance identifying current members.

INSURANCE 4

(to be completed by shipbrokers only)

It is recommended that shipbroking members hold insurance cover against claims for errors and omission and breaches of warranty or authority at a level appropriate to the style, scope and scale of their business. Cover can be obtained through an insurance policy, a protection and indemnity club or the Chartering Brokers Mutual Insurance Association.

Do you have insurance for your shipbroking activities?

Yes

No

If 'No', tick here if you would like to be contacted by ITIC about insurance cover.

MEMBERSHIP 5

To identify your band of membership, please identify which of the tiers below captures all employees in all offices that will work with Baltic information and other Baltic services.

Number of employees and number of office locations:

Up to 4 people / up to 1 office

Up to 9 people / up to 2 offices

Up to 19 people / up to 3 offices

Up to 49 people / up to 4 offices

Unlimited people and offices - please indicate how many offices here: _____

Your subscription is based on the number of employees using Baltic Exchange services within their work as well as the number of locations that they are working from. For further details please contact the Baltic Exchange.

Subscription band fees, can be found here: www.balticexchange.com/join/rates

DATA LICENCES 6

Do you require access to freight market information?

No

Level 1

Level 2

Additional subscriptions for Level 2 users

Do you require an FTP/XML data feed? (Level 2 only)

Do you require a Settlement Licence? (Level 2 only)

LEVEL 1 includes: Fixture lists, weekly reports and headline index values (E.g.; BDI, BPI and BDTI) but no individual routes, time charter averages or forward market data.

LEVEL 2: Includes Level 1 data plus; individual route benchmarks for Dry, Wet, Gas, S&P, Vessel Recycling and containers as well as forward curves, volumes and settlement data access.

FTP/XML DATA FEED: Direct feed of all Baltic data to your back office system.

SETTLEMENT LICENCE: Trading entities that reference Baltic data in contracts (e.g. index linked fixtures), must either hold a Baltic settlement licence or; use a clearing house or; a panel broker that is relevant to that market segment (Dry Cargo, Tanker or Gas) until contract expiry.

For all subscription rates, check: www.balticexchange.com/join/rates

PRIMARY CONTACT DETAILS 7

Please use this section to nominate employees from your company to become named Baltic members:

I am registering as a: Principal Member Representative Member

Title (Mr/Mrs/Miss/Ms/Other) _____

Family name _____

Given name _____

Known as _____

Date of birth _____ / _____ / _____

Job title _____

Department or desk function _____

Direct telephone number _____

Mobile number _____

Private work email address _____

Desk email address _____

Company name
(if different to section 1) _____

Office address
(if different to section 1) _____

City _____

County _____

Postcode _____

Country _____

Optional information

Personal email address _____

IM handle _____

Career history

1. Dates _____ / _____ / _____ to _____ / _____ / _____

Company _____

Position _____

2. Dates _____ / _____ / _____ to _____ / _____ / _____

Company _____

Position _____

PRINCIPAL MEMBERS are senior members of your team (e.g. a Head of Desk or Director). All others should register as REPRESENTATIVE

Your PRIVATE WORK EMAIL (i.e. not hotmail, gmail or a generic desk address) will be required to access the Baltic app.

An IM HANDLE may include Skype, ICE, WeChat etc..

*Further copies of this form can be found in Appendix 8A on page 9 for additional employees.

GENERAL DECLARATION 8

(to be completed by all applicants)

By signing below, you agree to be bound by:

1. Baltic Exchange Terms & Conditions

Please note that periodically, you will be asked to agree to Baltic Exchange Terms & Conditions, such as prior to the first time you login to balticexchange.com.

2. Baltic Exchange Data Policy

All Baltic Data is copyright of the Baltic Exchange Ltd. Use of Baltic data within your company is governed by your subscription type which is defined as Level 1, Level 2 and Settlement Licence. Full details can be found at www.balticexchange.com/data-policy

3. The Baltic Rules and Code

At all times within their business dealings, Baltic members must adhere to The Rules of the Baltic Exchange which include a code of ethical conduct known as "The Baltic Code". Any company found not to be complying with the Code, risks being 'Posted'.

4. Consent for Data Collection and Use

I authorise the Baltic Exchange to collect and use the information I have provided, and to share such information within the Baltic's group of companies and such third parties with which the Baltic may have relevant service arrangements, for internal statistical research, and for marketing and any reasonable ancillary purposes, including for the purpose of facilitating the Baltic Exchange's provision of products and services to me. I agree to receive notifications/calls/texts from the Baltic Exchange on such products and services.

The Baltic Exchange is committed to protecting your personal data. If you wish to withdraw or amend any consent or information that you have provided, or any part of your position information or consent, please make this request to membership@balticexchange.com.

Please refer to the documents in full on the Baltic Exchange website:

Baltic Exchange Terms & Conditions
<https://www.balticexchange.com/terms-and-conditions/>

Baltic Exchange Data Policy
<https://www.balticexchange.com/data-policy/>

Baltic Code
<https://www.balticexchange.com/about-us/ethos/>

Any deviation from any of the policies presented requires written permission from the Baltic.

Tick here to confirm that you agree with the terms above

Full name _____

Position _____

Signature _____

Date ____ / ____ / ____

If you are filling out this form digitally on an Adobe Reader, please sign using the secure Digital ID. We also accept handwritten and scanned versions of this form.

BROKER DECLARATION

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(to be completed by shipbrokers only)

I confirm that the company will act as brokers only and that it will not at any time deal directly or indirectly on its own account. Client money will be kept in a specially designated client account and will be kept separate from the company's money.

Full name _____

Position _____

Signature

Date ____ / ____ / ____

CHECKLIST 10

In addition to this application form, please submit the following documentation:

Your company's Certificate of Incorporation

Change of Name certificates, or equivalent (if relevant)

Latest audited financial statement

Any other comments:

DATE ELECTED

(for Baltic Exchange use only)

____ / ____ / ____

Please use this form to nominate additional Baltic members:

Register me as a Principal Member Representative Member

Title (Mr/Mrs/Miss/Ms/Other) _____

Family name _____

Given name _____

Known as _____

Date of birth _____ / _____ / _____

Job title _____

Department or desk function _____

Direct telephone number _____

Mobile number _____

Private work email address _____

Desk email address (if applicable) _____

Company name (if different to section 1) _____

Office address (if different to section 1) _____

City _____

County _____

Postcode _____

Country _____

Optional information

Personal email address _____

IM handle _____

Career history

1. Dates _____ / _____ / _____ to _____ / _____ / _____

Company _____

Position _____

2. Dates _____ / _____ / _____ to _____ / _____ / _____

Company _____

Position _____

PRINCIPAL MEMBERS are senior members of your team (e.g. a Head of Desk or Director). All others should register as REPRESENTATIVE

Your PRIVATE WORK EMAIL (i.e. not hotmail, gmail or a generic desk address) will be required to access the Baltic app.

An IM HANDLE may include Skype, ICE, WeChat and others.